

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER 3576-013
INTERNATIONAL APPLICATION NO. PCT/KR2004/001139		U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/550804</b>
INTERNATIONAL FILING DATE 14 MAY 2004		PRIORITY DATE CLAIMED 15 MAY 2003
TITLE OF INVENTION CERIA ABRASIVE FOR CMP AND METHOD FOR MANUFACTURING THE SAME		
APPLICANT(S) FOR DO/EO/US Un-Gyu PAIK, Jea-Gun PARK, Sang-Kyun KIM, Takeo KATOH and Yong-Kook PARK		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.		
2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.		
3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.		
4. <input type="checkbox"/> The US has been elected (Article 31).		
5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))		
a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).		
b. <input type="checkbox"/> has been communicated by the International Bureau.		
c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).		
6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).		
a. <input checked="" type="checkbox"/> is attached hereto. Filed in English		
b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).		
7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))		
a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).		
b. <input type="checkbox"/> have been communicated by the International Bureau.		
c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.		
d. <input type="checkbox"/> have not been made and will not be made.		
8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).		
9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).		
10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
<b>Items 11 to 20 below concern document(s) or information included:</b>		
11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.		
12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.		
13. <input checked="" type="checkbox"/> A preliminary amendment.		
14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.		
15. <input type="checkbox"/> A substitute specification.		
16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter.		
17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.		
18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).		
19. <input checked="" type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).		

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
<b>10/550804</b>		PCT/KR2004/001139		3576-013	
20. Other items or information: Transmittal Letter, PCT Request and WIPO Publication.					
The following fees have been submitted				<b>CALCULATIONS</b>	<b>PTO USE ONLY</b>
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300				\$ 300.00	
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))					
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 All other situations..... \$200				\$ 200.00	
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400 All other situations..... \$500				\$ 500.00	
<b>TOTAL OF 21, 22 and 23 =</b>				900.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
16 - 100 =	0 /50 =		x \$250	\$ 0	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	21 - 20 =	1	1 x \$ 50	\$ 50	
Independent claims	2 - 3 =	0	0 x \$200	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 950.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
<b>SUBTOTAL =</b>				\$ 475.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$	
<b>TOTAL NATIONAL FEE =</b>				\$ 475.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
<b>TOTAL FEES ENCLOSED =</b>				\$ 475.00	
				Amount to be refunded:	\$
				Amount to be charged	\$

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-1703. A duplicate copy of this sheet is enclosed.
- d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Hosoon Lee  
Marger Johnson & McCollom, P.C.  
210 SW Morrison St. Suite 400  
Portland, Oregon 97204 USA  
(503) 222-3613



SIGNATURE

Hosoon Lee  
NAME

56,737  
REGISTRATION NUMBER

PATENT APPLICATION  
Attorney's Doc. No. 3576-013  
Client Ref. No. PO050053

EXPRESS MAIL

MAILING LABEL NO. EV 696906395 US

DATE OF DEPOSIT: SEPTEMBER 22, 2005

I HEREBY CERTIFY THAT THIS PAPER AND ENCLOSURES AND/OR FEE ARE BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO: MAIL STOP PCT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 20231.

NATASHA FRENCH  
(SENDER'S PRINTED NAME)

  
(SIGNATURE)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
U.S. RECEIVING OFFICE (RO/US)

In Re: International Application  
No. PCT/KR2004/001139

Filed: 14 May 2004

Applicant: Un-Gyu PAIK, Jea-Gun PARK, Sang-Kyun KIM, Takeo KATOH and  
Yong-Kook PARK

Title of Invention: CERIA ABRASIVE FOR CMP AND METHOD FOR  
MANUFACTURING THE SAME

Agent's File Ref.: 3576-013

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Transmittal Letter**

Enclosed for filing regarding the above-referenced International Application are the following:

- ☒ Transmittal letter to U.S. Designated Office (DO/EO/US) concerning filing Under 35 U.S.C. 371 (PTO-1390)
- ☒ Filing (\$150); Search (\$250); Examination (\$100) and Claim (\$25) fees
- ☒ Specification (pages 1-13); claims (pages 14-15); abstract (page 16)
- ☒ SEVEN sheets of formal drawings (FIGS. 1-6 and 7a-7e)
- ☒ Combined Declaration and Power of Attorney (signed)

JC09 Rec'd PCT/PTO 22 SEP 2009

- ☐ Assignment with cover sheet  
Assignee name and address: (1) K.C. TECH CO., LTD.  
274-14, Geruk-Ri, Miyang-Myon,  
Ansong 456-840 Republic of Korea
- (2) HANYANG HAK WON CO., LTD.  
17, Haengdang-Dong, SungDong-Gu,  
Seoul 133-791 Republic of Korea
- ☒ Copy of International Search Report with references in the IDS  
☒ Published PCT Application No. WO 2004/101702 A1  
☒ PCT Request  
☒ Information Disclosure Statement with Form PTO 1449 and  
21 cited references  
☒ Applicant claims small entity status (see 37 CFR 1.27)  
☒ PTO Form 2038 authorizing credit card payment of \$ 475.00  
for filing, examination, search and claim fees  
☒ Any deficiency or overpayment should be charged or credited to deposit  
account number 13-1703.

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.



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